

Review of Risk Register

Report to: Board
Date: 19 June 2019
Report by: Gordon Weir, Executive Director of Corporate and Customer Services
Report No: B-18-2019
Agenda Item: 10

PURPOSE OF REPORT

This report presents a revised risk policy, risk appetite statement and updated strategic risk register for approval.

RECOMMENDATIONS

That the Board:

1. Approves the attached risk policy, risk appetite statement and strategic risk register.

Version Control and Consultation Recording Form

Who	Comment	Response	Changes Made as a Result/Action
Senior Management	The Exec Group reviewed the proposed revised risk appetite statement and risk register		April-May 2019
Legal Services			
Corporate and Customer Services Directorate			
Committee Consultation (where appropriate)	BDE Audit Committee		Feb 2019 May 2019
Partnership Forum Consultation (where appropriate)			
Equality Impact Assessment			
Confirm that Involvement and Equalities Team have been informed		YES <input type="checkbox"/>	NO <input type="checkbox"/>
EIA Carried Out		YES <input checked="" type="checkbox"/>	NO <input type="checkbox"/>
If yes, please attach the accompanying EIA and appendix and briefly outline the equality and diversity implications of this policy.		EIA carried out Sept 16.	
If no, you are confirming that this report has been classified as an operational report and not a new policy or change to an existing policy (guidance, practice or procedure)		Name: Gordon Weir Position: Executive Director of Corporate and Customer Services	
Authorised by Director	Name: G Weir	Date: 4 June 2019	

1.0 BACKGROUND

The Board held a risk review session on 26 February 2019 to consider and update the strategic risk register and to provide guidance on updating the risk policy. At this session, members considered the strategic risks facing the organisation, noting the timing issues relating to the new Corporate Plan which is under development.

2.0 ONGOING DEVELOPMENTS

At the session the Board identified eight strategic risks. The Executive Group subsequently prepared risk analysis documentation for each risk that was considered by the Audit Committee at its May 2019 meeting.

The Chief Executive and the Audit Committee have reviewed the Care Inspectorate's risk appetite statement and the associated risk tolerance statements that have been prepared for each risk.

This report presents three appendices for consideration.

Appendix 1	Risk policy
Appendix 2	Risk appetite statement (unchanged from 2018-19)
Appendix 3	Revised risk register

3.0 RESOURCE IMPLICATIONS

We do not anticipate additional resources beyond those currently deployed in support of the current approach to risk management.

4.0 EQUALITIES IMPLICATIONS

An Equalities Impact Assessment (EIA) was carried out in September 2016. There are no changes proposed for the EIA as a result of the review of the risk policy.

5.0 BENEFITS FOR PEOPLE WHO USE SERVICES AND THEIR CARERS

Effective risk management at a strategic level supports the delivery of the Corporate Plan. In addition, an effective risk management policy enables those involved in managing risk throughout the organisation to have a clear understanding of what is expected of them. As with other policies, this ensures the organisation is better equipped to focus on service delivery which in turn can only benefit our service users and carers.

Version: 1.0	Status: <i>Final</i>	Date: 04/06/2019
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6.0 CONCLUSION

Following review by the Audit Committee, this Report presents the risk policy, the risk appetite statement and proposed strategic risk register for the Board's approval.

LIST OF APPENDICES

- Appendix 1 -** Risk policy
- Appendix 2 -** Risk appetite statement
- Appendix 3 -** Revised Strategic Risk Register